# Investigation and Analysis of the<br>Seismic Stability of Mine Waste nvestigation and Analysis of the<br>Seismic Stability of Mine Waste<br>and Tailings and Tailings **n and Analysis of the<br>ability of Mine Waste<br>and Tailings<br>and Moffat, PhD<br>dad Adolfo Ibáñez, <u>RICARDO, MOFFAT@uai.cl</u><br>LMMG Geotecnia Limitada<br>bb Eric S. Moss, PhD, PE, F.ASCE<br>I Poly San Luis Obispo, <u>moss@calpoly.edu</u> n and Analysis of the<br>ability of Mine Waste<br>and Tailings<br>and Adolfo Ibáñez, <u>RICARDO.MOFFAT@uai.cl</u><br>MMG Geotecnia Limitada<br>bb Eric S. Moss, PhD, PE, F.ASCE<br>Poly San Luis Obispo, moss@edlpoly.edu<br>LMMG Geotecnia Limitada<br><b>N**

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LA SERENA • CHILE / NOVEMBER 12 - 16, 2024

## Short Course Objectives



17th PAN-AMERICAN CONFERENCE | 2"LATIN-AMERICAN REGIONAL ON SOIL MECHANICS AND GEOTECHNICAL ENGINEERING | 2"LATIN-AMERICAN REGIONAL

This short course explores the best-practices for investigating and analyzing mine waste and mine tailings for seismic stability. The expected outcome is that attendees will be able to;

- o properly characterize the subsurface conditions,
- o identify if sand-like or clay-like physics control,
- o highlight key static and seismic stability concerns,
- o perform triggering analysis of liquefiable (sand-like) soils,
- o determine post-triggering strength values, and evaluate post-triggering stability and runout distances. ○ identify if sand-like or clay-like physics control<br>
○ highlight key static and seismic stability concern<br>
○ perform triggering analysis of liquefiable (sand-<br>
○ determine post-triggering strength values, and e<br>
runout d

Software that maybe useful during the short course (acquired free via trial versions):

- 
- Slide2 (Rocscience)

# **Case History of Seismic<br>Induced Tailings Failure** Induced Tailings Failure



17th PAN-AMERICAN CONFERENCE 2<sup>24</sup> LATIN-AMERICAN REGIONAL ON SOIL MECHANICS AND GEOTECHNICAL ENGINEERING



#### PACIFIC EARTHQUAKE ENGINEERING **RESEARCH CENTER**

#### **Flow-Failure Case History of the** Las Palmas, Chile, Tailings Dam

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> T. R. Gebhart **California Department of Transportation**

J. D. Frost Department of Civil and Environmental Engineering Georgia Tech

> C. Ledezma **School of Engineering** Pontifica Universidad Catolica de Chile

PEER Report No. 2019/01 Pacific Earthquake Engineering Research Center Headquarters at the University of California, Berkeley

January 2019

**PEER 2019/01**<br>January 2019

https://peer.berkeley.edu/publications/2019-01





Gebhart, 2016

### M8.8 Maule (Chile) Earthquake

- 
- Focal Depth 35km
- Fault Plane 500x100km
- 
- 









GEER, 2010



GEER, 2010



Santa Maria, 2012; from Gebhart, 2016







GEER, 2010



GEER, 2010











High vs. Low Granular Temperature

Rapid vs. Slow Drainage

Turbulent vs. Laminar Flow Electric Lateral

Constant vs. Changing Slope





Subsurface investigations were needed to measure the engineering properties, back-analyze the problem, and learn from this failure. Ibsurface investigations were needed to measure the<br>Igineering properties, back-analyze the problem, and<br>Interpromethis failure.<br>SPT (Blows / 0.3 m + soil samples lab testing) - DICTU<br>CPT (tip, sleeve, pore pressure) - PEE bsurface investigations were needed to measure the<br>Igineering properties, back-analyze the problem, and<br>arn from this failure.<br>SPT (Blows / 0.3 m + soil samples lab testing) - DICTU<br>CPT (tip, sleeve, pore pressure) - PEER<br> rface investigations were needed to measure the<br>eering properties, back-analyze the problem, and<br>rom this failure.<br>(Blows / 0.3 m + soil samples lab testing) - DICTU<br>(tip, sleeve, pore pressure) - PEER<br>(shear wave velocity

 $V_s$  (shear wave velocity) - PEER

















100

CPTu







array





Cummulative Field Investigations<br>on Las Palmas Tailings Dam Failure<br>✓ LIDAR on Las Palmas Tailings Dam Failure

- $V$  LIDAR
- $\checkmark$  SASW (1)
- $\checkmark$  SPT (5) and Lab Testing
- $\checkmark$  CPT (3 +1)
- $\checkmark$  SPAC (5)

Intended Use of Data

- o Post-Liquefaction Residual Strength Database
- o Calibration of Flow Failure Numerical Modeling
- o New Standard for Flow Failure Case Histories


Histogram of blow counts in saturated tailings material, with fines correction (borings B-2,3,4) thought to best represent material susceptible to liquefaction

SPT

CPT







### Back-Analysis





### Residual Strength Back Analysis using the Incremental Momentum Method (Weber et al., 2015)



















## Incremental Momentum Analysis: Trial 7<br>Residual Strength = 180 psf<br>Debris Flow

















### Acknowledgments

- Tristan Gebhart, PE, CalTrans
- Prof. Christian Ledezma, PUC
- Prof. David Frost, Georgia Tech
- Prof. Joe Weber, Loyola Marymount
- Prof. Jon Stewart, UCLA

**PEER** 



PACIFIC EARTHQUAKE ENGINEERING RESEARCH CENTER







## Forward Analysis



#### Liquefaction flow failure engineering analysis

- **Liquefaction flow failure engineering analysis<br>1. Subsurface investigation (sCPTu + SPT preferred) for<br>tailings/dam strength measurements<br>2. Measure mean and max water table conditions** tailings/dam strength measurements **Liquefaction flow failure engineering analysis**<br>2. Subsurface investigation (sCPTu + SPT preferred) for<br>tailings/dam strength measurements<br>2. Measure mean and max water table conditions<br>3. Susceptiblilty assessment of mat **Liquefaction flow failure engineering analysis**<br>1. Subsurface investigation (sCPTu + SPT preferred) for<br>tailings/dam strength measurements<br>2. Measure mean and max water table conditions<br>3. Susceptiblilty assessment of mat **Liquefaction flow failure engineering analysis**<br>
1. Subsurface investigation (sCPTu + SPT preferred) for<br>
tailings/dam strength measurements<br>
2. Measure mean and max water table conditions<br>
3. Susceptiblilty assessment of 1. Subsurface investigation (sCPTu + SPT preferred) for<br>tailings/dam strength measurements<br>2. Measure mean and max water table conditions<br>3. Susceptiblilty assessment of materials<br>4. Triggering assessment of weak layers/fo
- 
- 
- 
- using residual strength 9. Measure mean and max water table conditions<br>
1. Susceptiblilty assessment of materials<br>
1. Triggering assessment of weak layers/foundation<br>
5. Post-liquefaction psuedo-static stability analysis<br>
1. Sost-liquefaction psu
- 

## Susceptibility







Cyclic failure of sensitive clays in Nepal 2015

Co-seismic: Shaking stops = deformations stop



Liquefaction flow failure of tailings in Chile 2010

Post-seismic: Shaking "breaks" the slope and deformations continue until no further momentum, and/or excess pore pressures dissipate independent ground shaking.



Fully Saturated (below WT)

Control Threshold



liquefaction for Rf>5%

of liquefaction for Ic>2.6

# Triggering







In-Class Worked Example



 $\overline{2}$ Tip resistance (MPa)

Friction (kPa)

Pressure (kPa)

$$
CSR = \frac{\tau_{liq}}{\sigma_v'} = 0.65 \ a_{max} \frac{\sigma_v}{\sigma_v'} r_d
$$

Solution: hand-calcs and/or LiqIT



**FIGURE 4.2** (a) Computed shear stress reduction coefficients  $(r_d)$  for a range of site conditions and input motions. The solid curves indicate the mean and standard deviations in calculated values of r<sub>d</sub> for different site conditions and input motions (gray lines). (b) Proposed  $r_d$  relationships from different researchers. SOURCE: (a) Cetin, K.O., and R.B. Seed. 2004. Nonlinear shear mass participation factor (rd) for cyclic shear stress ratio evaluation. Soil Dynamics and Earthquake Engineering 24(2):103-113. With permission from Elsevier. (b) Courtesy of E. Rathje.







## Post-Liquefaction Strength and Stability



17th PAN-AMERICAN CONFERENCE 2<sup>24</sup> LATIN-AMERICAN REGIONAL ON SOIL MECHANICS AND GEOTECHNICAL ENGINEERING



#### **ENGINEERING EVALUATION OF POST-LIQUEFACTION RESIDUAL STRENGTH**

#### (VOLUME 1: MAIN TEXT)

by

Joseph P. Weber, Raymond B. Seed, Robb E. S. Moss, Juan M. Pestana, Chukwuebuka Nweke, Tonguc T. Deger and Khaled Chowdhury



August 2022



https://geotechnical.berkeley.edu/sites/default /files/UCB-GT\_22-01\_Vol1.pdf



 $\mathbf 0$ 

 $\mathsf{O}$ 

 $\mathbf 2$ 

 $\overline{4}$ 

Figure 18: Deterministic regression showing post-liquefaction strer. resistance and initial effective vertical stress (fro

Figure 19: Deterministic regression showing post-liquefaction strength ratio (Sr/P) as a function of both penetration resistance and initial effective vertical stress (from Weber, et al., 2015)

8

6

 $10$ 

 $\mathsf{N}_{\mathsf{1.60,CS}}$ 

 $12$ 

 $14$ 

Least Squares Regression Curves

16

18

20

Probabilistic including the parameter<br>uncertainty and modeling uncertainty uncertainty and modeling uncertainty





#### Using 5 kPa in subsequent calculations

Figure 4. (a) Histogram of cone penetration resistance  $(q_{cl})$  values of flow failure case histories from the Olson & Stark (2002) database (after Yazdi and Moss, 2016). (b) Plot (revised after Weber et al., 2015) correlating penetration resistance to the liquefied residual strength. Red star shows the location of the Las Palmas tailings dam flow failure.

Moss 2019 DFM7
# Method of Slices (e.g., using Slide2 from RocScience)







$$
FS = \frac{N + cos\theta tan\phi}{sin\theta}
$$

$$
N = \frac{2c \cdot \sin\psi}{\gamma H \cdot \sin(\psi - \theta)}
$$

 $k_y = k_{h,crit}$  = seismic coefficient  $FS = static factor of safety$  $\phi = friction \ angle$  $\theta$  = angle of failure plane from horizontal  $N = stability number$  $c = cohesion$  $\psi$  = angle of slope face from horizontal  $H = height of slope$  $y = unit weight of the soil$ 





$$
k_y = k_{h,crit} = \frac{FS - 1}{\tan\phi + 1/\tan\theta}
$$

from Christian & Urzua (2017)



Swedish Circle ~ 0.6-ish

MOS  $\sim$  0.73 (non-circular) to 0.78





FS<1.0 then: est. displacements est. consequences implement mitigations

# Deformations Analysis



Numerical Modeling for Deformations?

# FE/FD, DEM, MPM



Federal Institute of Technology Lausanne)

Plastic fluid flow that assumes;

- Conservation of mass, initial to final,
- Translated center of mass, rectangle to parabola,
- Potential energy converted to kinetic energy,
- Work done by shear stress acting on the base,

$$
\frac{c}{4}x_f - \left(\frac{c}{4}x_o^2 + \gamma H_o^2 \frac{x_o}{2}\right)x_f + \frac{9}{16}\gamma H_o^2 x_o^2 = 0
$$

Rearranging gives the steady state strength (c)

$$
c = 4 \left( \frac{\gamma H_0^2 \frac{x_0}{2} x_f - \frac{9}{16} \gamma H_0^2 x_0^2}{x_0^2 x_f - x_f^3} \right)
$$



McKenna et al. 2014 lab testing experimentally mimics the same geometry.

To tease out which variables are useful, a steadystate strength range of 1.5 to 12.0 kPa was assumed as reasonable target results. This is based on prior studies of steady-state strength in the field (e.g.,  $\frac{1}{2}$ Weber et al., 2022; Seed and Harder, 1990; Olson and Stark, 2003; Moss et al., 2019) and in the lab McKenna et al. 2014 **lab testing**<br>
experimentally mimics the same geometry.<br>
To tease out which variables are useful, a steady-<br>
state strength range of 1.5 to 12.0 kPa was assumed<br>
as reasonable target results. This is ba

What was found is that the following variables show **COND FIELD** CONSTANT a trend with the predicted steady-state strength:

- •fines content was less than approximately 20% (FC<20%),
- •water content was less than approximately 200% (w<sub>c</sub><200%),
- •Darcy number was less than roughly 5 E+08



The Darcy Number among all other variables<br>
Correlated best with runout distances in the lab.<br>
The Darcy number is a dimensionless parameter<br>
which is the ratio of the solid-fluid interaction<br>
stress to the solid inertial The Darcy Number among all other variables<br>correlated best with runout distances in the lab.<br>The Darcy number is a dimensionless parameter<br>which is the ratio of the solid-fluid interaction<br>stress to the solid inertial str

$$
N_{DAR} = \frac{\mu}{V_S \rho_S \dot{\gamma} k}
$$



 $N_{DAR} = \frac{N}{V_{B} \cdot N}$  $\mu$  and the same set of  $\mu$  $V_{\rm s}\rho_{\rm s}\dot{\gamma}k$ 

 $N_{DAR} = \frac{(\mu)}{V_{SD} \dot{\gamma} k}$ <br>The viscosity of the fluidized soil is a key variable in determining how likely a<br>is to achieve flow when triggered. As described in McKenna et al., (2014) it<br>As the fines are entrained the dens  $N_{DAR} = \frac{\sqrt{\mu}}{V_s \rho_s \dot{\gamma} k}$ <br>The viscosity of the fluidized soil is a key variable in determining how likely a<br>slope is to achieve flow when triggered. As described in McKenna et al., (2014) it<br>is a function of how much f  $N_{DAR} = \frac{\mu}{V_s \rho_s \gamma k}$ <br>
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slope is to achieve flow when triggered. As described in McKenna et al., (2014) it<br>
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As the fines are entrained the de  $N_{DAR} = \frac{L}{V_s \rho_s \dot{\gamma} k}$ <br>The viscosity of the fluidized soil is a key variable in determining h<br>slope is to achieve flow when triggered. As described in McKenna et al<br>is a function of how much fines are entrained in the

Bryant et al., (1983) studied dam and<br>embankment flow failures to isolate the failure<br>characteristics of the material that resulted in<br>soli fluidization. Flow material was treated as a<br>strain rate dependent strength. The viscosity.



Dimensionless Parameters





Mean dimensionless Viscosity = 0.013 with a<br>CoV = 75% for low confining stress conditions

This is then used in forward modeling an independent set of embankment/tailings failures.





# Dam Break Results



# Flow Failure Case Histories after <sup>1</sup>Weber et al.<br>(2022) and <sup>2</sup>Moss et al. (2019).

## Residual Strength Back Analysis using the Incremental Momentum Method (Weber et al., 2015)







# **Results**

The results show that there is promise for this simple method to give reasonable runout estimates. Although we only have eleven well documented flow failures to make this documented now familes to make this<br>assessment, future failures and tests will be able<br>to contribute to this assessment. Given that the<br>current modeling capacity to capture flow failure<br>runout accurately is quite limited, to contribute to this assessment. Given that the  $\frac{1}{5}$  30 current modeling capacity to capture flow failure runout accurately is quite limited, this provides a  $\overline{\alpha}$  25 calibrated means of assessing runout distances for engineering design and analysis.

Note that sloping ground was not analyzed as a variable within this study, and should be considered in future studies. It is recommended that users perform detailed subsurface investigations to carefully assess the steady state strength using existing relationships (e.g., Weber et al., 2022) and limit the application of this solution to conditions where the overburden stress is less than 1.5 atm.



In-Class Worked Example



Tip resistance (MPa)



# Compare to Shibecha-Cho Embankment Case History  $\frac{10}{30}$

- 
- 33.7 ft high (~10.2 m)
- $\checkmark$  28 degree slope
- 
- 
- $\checkmark$  < 1 atm effective overburden
- 
- $\checkmark$  max runout 17.9 ft (5.4 m)



Need a more precise answer? Then calibrated numerical modeling..

# FE/FD, DEM, MPM



Federal Institute of Technology Lausanne)

# Thank you!

Ricardo Moffat, PhD Professor, Universidad Adolfo Ibáñez, RICARDO.MOFFAT@uai.cl Thank you!<br>
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essor, Cal Poly San Luis Obispo,<br>
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# Background

Tailings dams and other metastable soil conditions can exhibit flow failure, either due to static or seismic loading.

Flow failure, where the soil liquefies and exhibits steady state strength, can result in large deformations on the order of 10's to 100's of meters or more.

In this paper the "dam break" solution is examined with respect to flow failure laboratory experiments conducted by other researchers, and with respect to flow failure field measurements conducted by the author and other researchers.

It is found that after accounting for the strain rate effects on viscosity of the fluidized soil that the "dam break" solution provides reasonable estimates of runout distance, sufficient for engineering design purposes.



Las Palmas 2010

"Dam Break" Estimate for Deformations.



$$
\frac{c}{4}x_f - \left(\frac{c}{4}x_o^2 + \gamma H_o^2 \frac{x_o}{2}\right)x_f + \frac{9}{16}\gamma H_o^2 x_o^2 = 0
$$

Adjusting for viscosity effects (1 case history) and limiting cases to 1.5 atm (1 case history) the "Dam Break" solution provides a reasonable estimate for the Weber et al. (2015) database where runout was measured.

