Investigation and Analysis of the Seismic Stability of Mine Waste and Tailings

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2ndLATIN-AMERICAN REGIONAL CONFERENCE OF IAEG





LA SERENA • CHILE / NOVEMBER 12 - 16, 2024

Short Course Objectives



17th PAN-AMERICAN CONFERENCE 2^{sel}Latin-American Regional Conference of IAEG

This short course explores the best-practices for investigating and analyzing mine waste and mine tailings for seismic stability. The expected outcome is that attendees will be able to;

- properly characterize the subsurface conditions,
- \circ identify if sand-like or clay-like physics control,
- o highlight key static and seismic stability concerns,
- perform triggering analysis of liquefiable (sand-like) soils,
- determine post-triggering strength values, and evaluate post-triggering stability and runout distances.

Software that maybe useful during the short course (acquired free via trial versions):

- LiqIT (Geologismiki)
- Slide2 (Rocscience)

Case History of Seismic Induced Tailings Failure



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PACIFIC EARTHQUAKE ENGINEERING RESEARCH CENTER

Flow-Failure Case History of the Las Palmas, Chile, Tailings Dam

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PEER Report No. 2019/01 Pacific Earthquake Engineering Research Center Headquarters at the University of California, Berkeley

January 2019

PEER 2019/01 January 2019 https://peer.berkeley.edu/publications/2019-01







M8.8 Maule (Chile) Earthquake

- Februrary 27th
- Focal Depth 35km
- Fault Plane 500x100km
- Max slip > 8m
- Approx 600 deaths





PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme Very Heavy >156	
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Mod./Heavy	Heavy		
PEAK ACC.(%g)	<0.1	0.5	2.4	6.7	13	24	44	83		
PEAK VEL.(cm/s)	<0.07	0.4	1.9	5.8	11	22	43	83	>160	
INSTRUMENTAL INTENSITY	ENTAL I II-III		IV	v	VI	VII	VIII	IX	X +	



GEER, 2010



GEER, 2010



Santa Maria, 2012; from Gebhart, 2016







GEER, 2010



GEER, 2010











High vs. Low Granular Temperature

Rapid vs. Slow Drainage

Turbulent vs. Laminar Flow

Constant vs. Changing Slope





Subsurface investigations were needed to measure the engineering properties, back-analyze the problem, and learn from this failure.

SPT (Blows / 0.3 m + soil samples lab testing) - DICTU

CPT (tip, sleeve, pore pressure) - PEER

V_s (shear wave velocity) - PEER





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									BORING LOG BORING NO. B-3 JOB NO.							
		PROJECT INFORMATION					DRILLING INFORMATION									
		PROJECT: Las Palmas Tailings Dam DRILLING LOCATION: Tailings DATE DRILLED: LOGGED BY:				DRILL RIG: HOLE DIAMETER: SAMPLING METHOD: HOLE ELEVATION:										
	3	Depth of Groundwater: 20 Feet			Bo	30ring Terminated At: 30 Feet										
	DEPT.	SOIL DESCRIPTION	USCS	_Г ітного _б ү	SAMPLE	BLOWS/ 12 IN	(N ₁) 60	FRICTION ANGLE, (degrees)	COHESION, C (psf)	CONTER CONTENT (%)	MAXIMUM DRY DENSITY (pcf)	EXPANSION INDEX (EI)	CONTENT (%)	PLASTICITY INDEX (PI)		
	0-															
	-1	SANDY SILT	ML			10	15			5-19%			83	NP		
N.	-3 -4 -5 -6					7	11						79			
1	-7					5	6						76			
	-11 - -12 - -13 -					6	6						90			
	-14 — -15 — -16 — -17 —					5	5						81			
	-19 - -19 - -20 - -21 -	-				2	2						75			
	-22 - -23 - -24 - -25 -					10	9						73			
	-20 - -27 - -28 - -29 -					7	6						59			











Friction (kPa)

Pressure (kPa)

Tip resistance (MPa)





Licensed to: California Polytechnic State University



twelve geophone circular SPAC array



Imagery ©2017 ONES / Airbus, Digital Gobe, Map data ©2017 Google 100 m



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0 m _____

Cummulative Field Investigations on Las Palmas Tailings Dam Failure

- ✓ LIDAR
- ✓ SASW (1)
- ✓ SPT (5) and Lab Testing
- ✓ CPT (3 +1)
- ✓ SPAC (5)

Intended Use of Data

- **o** Post-Liquefaction Residual Strength Database
- **o** Calibration of Flow Failure Numerical Modeling
- $\circ~$ New Standard for Flow Failure Case Histories


SPT

Histogram of blow counts in saturated tailings material, with fines correction (borings B-2,3,4) thought to best represent material susceptible to liquefaction with a median of 5 and a CoV of 25%







Profile	Depth Range	Average VS1
	(m)	(m/s)
G1	0 to 5	211
G2	na	na
G3	0 to 8	(172)
G5	0 to 3	222
G6	3 to 9	175

Back-Analysis





Residual Strength Back Analysis using the Incremental Momentum Method (Weber et al., 2015)



Gebhart, 2016















Incremental Momentum Analysis: Trial 7 Residual Strength = 180 psf Debris Flow

		Displacement (ft)		Area (ft ²)	Weight (lb)	Force (lb)		Accel. (ft/s2)	Time (s)		Velocity (ft/s)		Displacement (ft)			Area Check (ft ²)			
Time Step	FS	Total	Increment	Increment	Increment	Driving	Resisting	Net	Increment	(Goal Seek)	Total	Increment	Total	Increment	Total	Difference (Goal Seek)	Projected (Linear)	Difference (Proj - Act)	% Diff
1	0.44	0	0	32796	3279600	286820	127150	159670	1.6	0.0	0.0	0	0.0	0	0.0	0	32796	0	0%
2	0.59	43	43	29733	2973300	239190	141040	98150	1.1	8.1	8.1	10.6	10.6	43.0	43.0	0	29457	-276	-1%
3	0.74	105	62	25114	2511400	223310	164480	58830	0.8	12.9	21.0	4.4	15.0	62.0	105.0	0	24643	-471	-2%
4	1.14	180	75	18897	1889800	166690	189440	-22750	-0.4	17.8	38.8	0.9	15.9	75.0	180.0	0	18820	-77	0%
5	1.38	210	30	16964	1696300	143250	197440	-54190	-1.0	19.7	58.5	-1.4	14.5	30.0	210.0	0	16490	-474	-3%
6	1.81	245	35	13988	1398600	113100	204380	-91280	-2.1	22.6	81.1	-4.5	10.1	35.0	245.0	0	13773	-215	-2%
7	2.38	268	23	11987	1198700	89568	212950	-1E+05	-3.3	26.3	107.4	-10.0	0.1	18.7	263.7	4.3	11987	0	0%















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- Prof. Jon Stewart, UCLA

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Forward Analysis



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Liquefaction flow failure engineering analysis

- 1. Subsurface investigation (sCPTu + SPT preferred) for tailings/dam strength measurements
- 2. Measure mean and max water table conditions
- 3. Susceptiblilty assessment of materials
- 4. Triggering assessment of weak layers/foundation
- 5. Post-liquefaction psuedo-static stability analysis using residual strength
- 6. If FS<1.0 then address runout and/or consequences

Susceptibility



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Cyclic failure of sensitive clays in Nepal 2015

Co-seismic: Shaking stops = deformations stop



Liquefaction flow failure of tailings in Chile 2010

Post-seismic: Shaking "breaks" the slope and deformations continue until no further momentum, and/or excess pore pressures dissipate independent ground shaking.



Fully Saturated (below WT)

20% – 30% Fines Control Threshold



Moss et al., 2006 – No evidence of liquefaction for Rf>5%

Robertson & Wride 1998 – No evidence of liquefaction for Ic>2.6

Triggering



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In-Class Worked Example



Tip resistance (MPa)

Friction (kPa)

Pressure (kPa)

$$CSR = \frac{\tau_{liq}}{\sigma'_{v}} = 0.65 a_{max} \frac{\sigma_{v}}{\sigma'_{v}} r_{d}$$

Solution: hand-calcs and/or LiqIT



FIGURE 4.2 (a) Computed shear stress reduction coefficients (r_d) for a range of site conditions and input motions. The solid curves indicate the mean and standard deviations in calculated values of r_d for different site conditions and input motions (gray lines). (b) Proposed r_d relationships from different researchers. SOURCE: (a) Cetin, K.O., and R.B. Seed. 2004. Nonlinear shear mass participation factor (rd) for cyclic shear stress ratio evaluation. *Soil Dynamics* and Earthquake Engineering 24(2):103–113. With permission from Elsevier. (b) Courtesy of E. Rathje.







Post-Liquefaction Strength and Stability



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ENGINEERING EVALUATION OF POST-LIQUEFACTION **RESIDUAL STRENGTH**

(VOLUME 1: MAIN TEXT)

by

Joseph P. Weber, Raymond B. Seed, Robb E. S. Moss, Juan M. Pestana, Chukwuebuka Nweke, Tonguc T. Deger and Khaled Chowdhury



University of California at Berkeley

August 2022

https://geotechnical.berkeley.edu/sites/default /files/UCB-GT_22-01_Vol1.pdf



Figure 18: Deterministic regression showing post-liquefaction stren resistance and initial effective vertical stress (fro

Figure 19: Deterministic regression showing post-liquefaction strength ratio (Sr/P) as a function of both penetration resistance and initial effective vertical stress (from Weber, et al., 2015)

N_{1,60,CS}

Least Squares Regression Curves

Probabilistic including the parameter uncertainty and modeling uncertainty





Using 5 kPa in subsequent calculations

Figure 4. (a) Histogram of cone penetration resistance (q_{cl}) values of flow failure case histories from the Olson & Stark (2002) database (after Yazdi and Moss, 2016). (b) Plot (revised after Weber et al., 2015) correlating penetration resistance to the liquefied residual strength. Red star shows the location of the Las Palmas tailings dam flow failure.

Moss 2019 DFM7
Method of Slices (e.g., using Slide2 from RocScience)







Culmann Planar

$$FS = \frac{N + \cos\theta \tan\phi}{\sin\theta}$$

$$N = \frac{2c \cdot sin\psi}{\gamma H \cdot sin(\psi - \theta)}$$

 $\begin{aligned} k_y &= k_{h,crit} = seismic \ coefficient \\ FS &= static \ factor \ of \ safety \\ \phi &= friction \ angle \\ \theta &= angle \ of \ failure \ plane \ from \ horizontal \\ N &= stability \ number \\ c &= cohesion \\ \psi &= angle \ of \ slope \ face \ from \ horizontal \\ H &= height \ of \ slope \\ \gamma &= unit \ weight \ of \ the \ soil \end{aligned}$





$$k_y = k_{h,crit} = \frac{FS - 1}{tan\phi + 1/tanb}$$

from Christian & Urzua (2017)



4.000

5.000

6.000+

FS<1.0 then: est. displacements est. consequences implement mitigations

Deformations Analysis



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Numerical Modeling for Deformations?

FE/FD, DEM, MPM



Samila Bandara (<u>http://uk.linkedin.com/pub/samila-ban...</u>) <u>http://onlinelibrary.wiley.com/doi/10...</u> EPFL (Swiss Federal Institute of Technology Lausanne)

Dam Break

Plastic fluid flow that assumes;

- Conservation of mass, initial to final,
- Translated center of mass, rectangle to parabola,
- Potential energy converted to kinetic energy,
- Work done by shear stress acting on the base,

$$\frac{c}{4}x_f - \left(\frac{c}{4}x_o^2 + \gamma H_o^2\frac{x_o}{2}\right)x_f + \frac{9}{16}\gamma H_o^2x_o^2 = 0$$

Runout distance is $x_f - x_o$

Rearranging gives the steady state strength (c)

$$c = 4 \left(\frac{\gamma H_o^2 \frac{x_o}{2} x_f - \frac{9}{16} \gamma H_o^2 x_o^2}{x_o^2 x_f - x_f^3} \right)$$



McKenna et al. 2014 **lab testing** experimentally mimics the same geometry.

To tease out which variables are useful, a steadystate strength range of 1.5 to 12.0 kPa was assumed as reasonable target results. This is based on prior studies of steady-state strength in the field (e.g., Weber et al., 2022; Seed and Harder, 1990; Olson and Stark, 2003; Moss et al., 2019) and in the lab (e.g., Dewoolkar et al., 2016; Moss et al., 2020).

What was found is that the following variables show a trend with the predicted steady-state strength:

- fines content was less than approximately 20% (FC<20%),
- •water content was less than approximately 200% (w_c<200%),
- •Darcy number was less than roughly 5 E+08

Lab Data



The Darcy Number among all other variables correlated best with runout distances in the lab. The Darcy number is a dimensionless parameter which is the ratio of the solid-fluid interaction stress to the solid inertial stress.

$$N_{DAR} = \frac{\mu}{V_s \rho_s \dot{\gamma} k}$$

$$\begin{split} \mu &= viscosity(Pa \ s), \\ V_s &= volume \ of \ the \ solids \ (m^3) \\ \rho_s &= density \ of \ solids \ (kg/m^3) \\ \dot{\gamma} &= shear \ strain \ rate \ (1/s) \\ k &= intrinsic \ permeability \ (m/s) \end{split}$$

Lab Data



 $N_{DAR} = \frac{\mu}{V_s \rho_s \dot{\gamma} k}$

The viscosity of the fluidized soil is a key variable in determining how likely a slope is to achieve flow when triggered. As described in McKenna et al., (2014) it is a function of how much fines are entrained in the fluid during failure.

As the fines are entrained the density of the fluid increases accordingly (Iverson, 1997). A semi-empirical relationship by Thomas (1965) was used in McKenna et al., (2014) to estimate the viscosity of the flowing material.

We next examined field data to determine reasonable viscosities at full scale from failure case histories.

Bryant et al., (1983) studied dam and embankment flow failures to isolate the failure characteristics of the material that resulted in soil fluidization. Flow material was treated as a Bingham plastic with a yield stress/strength and strain rate dependent strength. The yield strength (τ_{v}) is the intercept for the Bingham fluid at negligible strain rate and identical to strength the steady-state (c) or liquefied/residual strength. The slope of the line (μ) with an increase in strain rate is the viscosity.

Material	$ au_y/\sigma'_v$	$\mu * \dot{\gamma} / \tau_{y}$	
Banding Sand #6	~0.05	1111+111	
Morenci	~0.15	~0.01	
Coeur	~0.15	~0.01	
Mission	~0.20	~0.006	
Lornex	~0.22		
Bunker Hill	~0.30	~0.01 to 0.02	
Star Morning	~0.30	~0.009	
Climax	~0.50	~0.002 to 0.008	
Lucky Friday	~1.00	~0.006 to 0.015	
Galena	~0.001 to 0.25	~0.03 to 0.04	

Dimensionless Parameters



	Dimensionless Parameters		
Material	$ au_y/\sigma_v'$	$\mu * \dot{\gamma} / \tau_y$	
Banding Sand #6	~0.05	and the second sec	
Morenci	~0.15	~0.01	
Coeur	~0.15	~0.01	
Mission	~0.20	~0.006	
Lornex	~0.22	and the second s	
Bunker Hill	~0.30	~0.01 to 0.02	
Star Morning	~0.30	~0.009	
Climax	~0.50	~0.002 to 0.008	
Lucky Friday	~1.00	~0.006 to 0.015	
Galena	~0.001 to 0.25	~0.03 to 0.04	

Mean dimensionless Viscosity = 0.013 with a CoV = 75% for low confining stress conditions

This is then used in forward modeling an independent set of embankment/tailings failures.





Dam Break Results



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Flow Failure Case Histories after ¹Weber et al. (2022) and ²Moss et al. (2019).

Residual Strength Back Analysis using the Incremental Momentum Method (Weber et al., 2015)

Case History	H _o (m)	x _o (m)	Slope (degree)	γ (kN/m³)	σ (atm)	Runout (m)	s _{u,r} (kPa)
Waschusett				and and a state of the second	The second second	1 1	
Dam ¹	26.5	215	26.6	19.3	1.6	42	14.1
Fort Peck Dam ¹		and the second of the second	Charles Inc.	and the second	and the second		
1999 - 19	60	480	14.0	19.2	2.7	161	34.8
Uetsu Railway			and a second			17	Se de
Embankment ¹	9.5	28	21.8	18.5	0.8	33	1.8
Lower San	nanació anos pitana é a si		and the second s	and	11	11 11	11
Fernando Dam ¹	43	215	18.4	19.3	1.8	19	24.7
Hachiro-Gata		Color Balty Comment	and the second sec		1 111	1 11	
Roadway		and a second second second second	$\langle \rangle$		11111		
Embankment ¹	4	20	21.8	19.2	1.2	4	3.3
Lake Ackerman	and a state of the	and the second se	l I				
Highway	1	1		1 1 1 1	11111		
Embankment ¹	8	39	26.6	19.3	0.7	7	5.1
Chonan Middle			11	1/1/	////		1
School ¹	6	30	33.7	18.9	2.1	2	6.9
Soviet Tajik May	1 /	1		/////	111		
1 Slope ¹	30	148	16.7	18.5	2.7	21	16.4
Shibecha-Cho		1 /	11	1111			
Embankement ¹	10	51	28.1	14.9	1.6	5	10.3
Route 272			1		$\left(\right) \right)$		1
Roadway						$\langle \rangle$	
Embankment ¹	8	40	30.8	17.0	1.6	11	6.6
Las Palmas							
Tailings Dam ²	25	150		15		350	8.3





Results

The results show that there is promise for this simple method to give reasonable runout estimates. Although we only have eleven well documented flow failures to make this assessment, future failures and tests will be able to contribute to this assessment. Given that the current modeling capacity to capture flow failure runout accurately is quite limited, this provides a calibrated means of assessing runout distances for engineering design and analysis.

Note that sloping ground was not analyzed as a variable within this study, and should be considered in future studies. It is recommended that users perform detailed subsurface investigations to carefully assess the steady state strength using existing relationships (e.g., Weber et al., 2022) and limit the application of this solution to conditions where the overburden stress is less than 1.5 atm.



In-Class Worked Example



Tip resistance (MPa)

Hungr 1995	ShortCourse		
Ho (m)	10		
xo (m)	20	set at twice the height	
c (kPa)	5		
gamma (kn/m3)	18		
xf (initial)	22.68016541	set at twice the width	
solver set to zero	0	initiate solver	
xf-xo	2.680165411	order of magnitude estimate	

Compare to Shibecha-Cho Embankment Case History

- ✓ 0.38 g in the Kirshiro Oki Earthquake
- ✓ 33.7 ft high (~10.2 m)
- ✓ 28 degree slope
- ✓ correct SPT 8.1 bpf (~2 MPa corrected CPT)
- ✓ back-analyzed Su,r = 215 psf (~10 kPa)
- ✓ < 1 atm effective overburden</p>
- ✓ post-liq FS ~ 0.79
- ✓ max runout 17.9 ft (5.4 m)





Need a more precise answer? Then calibrated numerical modeling..

FE/FD, DEM, MPM



Samila Bandara (<u>http://uk.linkedin.com/pub/samila-ban...</u>) <u>http://onlinelibrary.wiley.com/doi/10...</u> EPFL (Swiss Federal Institute of Technology Lausanne)

Thank you!

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Background

Tailings dams and other metastable soil conditions can exhibit flow failure, either due to static or seismic loading.

Flow failure, where the soil liquefies and exhibits steady state strength, can result in large deformations on the order of 10's to 100's of meters or more.

In this paper the "dam break" solution is examined with respect to flow failure laboratory experiments conducted by other researchers, and with respect to flow failure field measurements conducted by the author and other researchers.

It is found that after accounting for the strain rate effects on viscosity of the fluidized soil that the "dam break" solution provides reasonable estimates of runout distance, sufficient for engineering design purposes.



Las Palmas 2010

"Dam Break" Estimate for Deformations.



$$\frac{c}{4}x_f - \left(\frac{c}{4}x_o^2 + \gamma H_o^2\frac{x_o}{2}\right)x_f + \frac{9}{16}\gamma H_o^2x_o^2 = 0$$

Adjusting for viscosity effects (1 case history) and limiting cases to 1.5 atm (1 case history) the "Dam Break" solution provides a reasonable estimate for the Weber et al. (2015) database where runout was measured.

